

## Case Create/Update

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<TXLife>
```

```
<UserAuthRequest>
```

```
<UserLoginName>USER1</UserLoginName>
```

```
<UserPswd>
```

```
<CryptType/>
```

```
<Pswd>PASSWORD1</Pswd>
```

```
</UserPswd>
```

```
<VendorApp>
```

```
<VendorName VendorCode="1145"/>
```

```
<AppName>AW</AppName>
```

```
<AppVer>1.1.00</AppVer>
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```
</VendorApp>
```

```
</UserAuthRequest>
```

```
<TXLifeRequest>
```

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<TransRefGUID>606D67C1-CC4D-11CF-91FB-444554540000</TransRefGUID>
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<TransType tc="103">New Business Submission</TransType>
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<TransExeDate>2010-11-12</TransExeDate>
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```
<TransExeTime>13:15:33-07:00</TransExeTime>
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<PendingResponseOK tc="1">TRUE</PendingResponseOK>
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```
<OLifE>
```

```
<SourceInfo>
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```
<FileControllID>AW_1</FileControllID>
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```
</SourceInfo>
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```
<Holding DataRep="Full" id="Holding_12345654">
```

```
<HoldingTypeCode tc="2">Policy</HoldingTypeCode>
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```
<HoldingStatus tc="3">Proposed</HoldingStatus>
```

```
<Policy>
```

```
<PolNumber>FK1238874</PolNumber>
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```
<LineOfBusiness tc="1">Life</LineOfBusiness>
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```
<ProductCode>BaOpTerm10</ProductCode>
```

```
<CarrierCode>Banner</CarrierCode>
```

```
<PlanName>OpTerm10</PlanName>
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```
<ProductType tc="2">Term Life</ProductType>
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```
<PolicyStatus tc="8">Pending</PolicyStatus>
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<StatusChangeDate>2011-03-07</StatusChangeDate>
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```
<PolFee>65.00</PolFee>
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```
<EffDate>2011-03-11</EffDate>
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Required

Conditionally Required

Optional

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<PaymentMode tc="1">Annual</PaymentMode>
<PaymentAmt>1000.00</PaymentAmt>
<AnnualPaymentAmt>1000.00</AnnualPaymentAmt>
<IssueAge>45</IssueAge>
<CWAmt>100.00</CWAmt>
<CaseOrgCode>2</CaseOrgCode>
<DeliveryOrderDate>2011-03-07</DeliveryOrderDate>
<Life>
  <FaceAmt>500000</FaceAmt>
  <ExcessPremAmtATD>5000.00</ExcessPremiumAmtATD>
  <TargetPremAmt>10000.00</TargetPremiumAmt>
  <TotalRolloverAmt>10000.00</TotalRolloverAmt>
  <FullyCommPremAnnualized>10000.00</FullyCommPremAnnualized>
  <RolloverDate>2011-03-06</RolloverDate>
  <InitCoverAmt>100000</InitCoverAmt>
  <CurrentIntRate>4.50</CurrentIntRate>
  <GDBValue>150000.00</GDBValue>
  <CashValueAmt>250000.00</CashValueAmt>
  <SurrenderChargeAmt>100000.00</SurrenderChargeAmt>
</Life>
<Annuity>
  <PrimaryReductionPct>50.00</PrimaryReductionPct>
  <InitDepIntRateCurrent>25.00</InitDepIntRateCurrent>
  <QualPlanType>K</QualPlanType>
  <RiderTypeCode tc="202"></RiderTypeCode>
  <EffDate>2011-03-07</EffDate>
  <AttachmentType tc="14">
    <AttachmentData>This is an annuity note</AttachmentData>
  </AttachmentType>
</Annuity>
<ApplicationInfo>
  <TrackingID>3348573</TrackingID>
  <SignedDate>2011-03-01</SignedDate>
  <ApplicationJurisdiction tc="52">UT</ApplicationJurisdiction>
  <FormalAppInd tc="0">Formal</FormalAppInd>
  <SubmissionDate>2011-03-01</SubmissionDate>
  <NBContactName>EWRIGHT</NBContactName>
  <PlacementEndDate>2011-04-01</PlacementEndDate>
  <OLifEEExtension>
    <ReplacementInd>TRUE</ReplacementInd>
    <ReplacementReason>Personal</ReplacementReason>
    <ReplacementAmt>500000</ReplacementAmt>
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```
<ReplacementPlan>Whole Life</ReplacementPlan>
<CoverageReason>Coverage Reason</CoverageReason>
<PriorGroupCoverageInd>TRUE</PriorGroupCoverageInd>
<DatePlaced>2011-03-07</DatePlaced>
<DateClosed>2011-03-07</DateClosed>
<DateNtod>2011-03-07</DateNtod>
</OLifeExtension>
</ApplicationInfo>
<Coverage>
  <LifeParticipant id="LP_23423">
    <LifeParticipantRoleCode tc="7">Primary Beneficiary</LifeParticipantRoleCode>
    <ParticipantName>Suzanne Barker</ParticipantName>
    <BeneficiaryRoleCode tc="241">Recipient</BeneficiaryRoleCode>
  </LifeParticipant>
  <LifeParticipant id="LP_98743">
    <LifeParticipantRoleCode tc="1">Primary Insured</LifeParticipantRoleCode>
    <ParticipantName>Bob Barker</ParticipantName>
    <BeneficiaryRoleCode tc="32">Primary Insured</BeneficiaryRoleCode>
    <IssueAge>45</IssueAge>
    <TempFlatExtraAmt>25.00</TempFlatExtraAmt>
    <TempTableRating>2</TempTableRating>
    <IssueGender tc="1">Male</IssueGender>
    <UnderwritingClass tc="1">Standard</UnderwritingClass>
    <TobaccoPremiumBasis tc="2">Smoker</TobaccoPremiumBasis>
    <SmokerStat tc="1">Tobacco User</SmokerStat>
    <OLifeExtension>
      <TempFlatDuration>3</TempFlatDuration>
    </OLifeExtension>
  </LifeParticipant>
</Coverage>
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  <UnderwritingClass tc="1">Standard</UnderwritingClass>
  <CustomFields>
    <CustomField Name="Custom Field 1">Value</CustomField>
    <CustomField Name="Custom Field 2">Value</CustomField>
  </CustomFields>
  <Codes>
    <Code Name="Code Name 1" Family_Name="Code Family 1"></Code>
    <Code Name="Code Name 2" Family_Name="Code Family 1"></Code>
  </Codes>
</OLifeExtension>
<RequirementInfo id="R_12445783323">
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<RequirementInfoUniqueID>12445783323</RequirementInfoUniqueID>
<ReqCode tc="23">APS</ReqCode>
<RequirementDetails>These are the notes about the requirement</RequirementDetails>
<ReqStatus tc="4">Outstanding</ReqStatus>
<RequestedDate>2011-03-01</RequestedDate>
<ReceivedDate>2011-03-04</ReceivedDate>
<StatusDate>2011-03-04</StatusDate>
<ResponsiblePartyType tc="1">Agent</ResponsiblePartyType>
<StatusEvent>
  <StatusEvent tc="54">Left Message</StatusEvent>
  <StatusEventCode>Event Code</StatusEventCode>
  <StatusEventDetail>Basic text about the event</StatusEventDetail>
  <StatusEventDate>2011-03-05</StatusEventDate>
  <StatusEventTime>13:15:33-07:00</StatusEventTime>
</StatusEvent>
</RequirementInfo>
</Policy>
<OLifEEExtension>
  <AppSourceID>OptionalValue</AppSourceID>
</OLifEEExtension>
<AssetValue>100.00</AssetValue>
<Attachment DataRep="Full" id="123654789">
  <AttachmentKey>987456123</AttachmentKey>
  <DateCreated>2010-11-04</DateCreated>
  <UserCode>TESTUSER</UserCode>
  <Description>This is the description of the comment.</Description>
  <LastUpdate>2010-11-05</LastUpdate>
  <FollowUpDate>2010-11-07</FollowUpDate>
  <AttachmentType>General</AttachmentType>
  <PurgeDate>2010-11-10</PurgeDate>
  <AttachmentSource>CONFIDENTIAL</AttachmentSource>
  <AttachmentData>This is the body of the note or comment</AttachmentData>
<OLifEEExtension>
  <TimeCreated>13:15:33</TimeCreated>
  <TimeChanged>15:25:37</TimeChanged>
</OLifEEExtension>
</Attachment>
</Holding>
<Party id="Organization_123">
  <PartyTypeCode tc="2">Org</PartyTypeCode>
  <Organization>
    <OrgCode>2</OrgCode>
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```
</Organization>
</Party>
<Relation id="Rel_Case_Org_1" OriginatingObjectID="Holding_12345654" RelatedObjectID="Organization_123">
  <OriginatingObjectType tc="4">Holding</OriginatingObjectType>
  <RelatedObjectType tc="16">Organization</RelatedObjectType>
  <RelationRoleCode tc="120">Agent of Agency</RelationRoleCode>
</Relation>
<Party id="Insured_3452">
  <PartyTypeCode tc="1">Person</PartyTypeCode>
  <GovtID>555125555</GovtID>
  <Person>
    <FirstName>Bob</FirstName>
    <MiddleName>E</MiddleName>
    <LastName>Barker</LastName>
    <FullName>Bob E Barker</FullName>
    <Suffix>Jr</Suffix>
    <Gender tc="1">Male</Gender>
    <BirthDate>1964-10-10</BirthDate>
    <SmokerStat tc="1">Tobacco User</SmokerStat>
    <DriversLicenseNum>150849756</DriversLicenseNum>
    <DriversLicenseState tc="52">UT</DriversLicenseState>
  </Person>
  <Address id="Add_34383484">
    <AddressTypeCode tc="1">Residence</AddressTypeCode>
    <Line1>100 W 200 S</Line1>
    <Line2>Suite 100</Line2>
    <Line3>Room 4a</Line3>
    <City>Salt Lake City</City>
    <AddressStateTC tc="52">UT</AddressStateTC>
    <Zip>84101</Zip>
    <AddressCountryTC tc="1">USA</AddressCountryTC>
  </Address>
  <EmailAddress id="Eml_2342342">
    <AddrLine>Bob.Barker@ipipeline.com</AddrLine>
  </EmailAddress>
  <Phone id="phn_4432344">
    <PhoneTypeCode tc="2">Business</PhoneTypeCode>
    <AreaCode>801</AreaCode>
    <DialNumber>5557903</DialNumber>
  </Phone>
</Party>
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<OriginatingObjectType tc="4">Holding</OriginatingObjectType>
<RelatedObjectType tc="115">Person</RelatedObjectType>
<RelationRoleCode tc="32">Insured</RelationRoleCode>
</Relation>
<Party id="Insured_3455">
  <PartyTypeCode tc="1">Person</PartyTypeCode>
  <GovtID>555126666</GovtID>
  <Person>
    <FirstName>Suzanne</FirstName>
    <MiddleName>E</MiddleName>
    <LastName>Barker</LastName>
    <FullName>Suzanne E Barker</FullName>
    <Suffix>Jr</Suffix>
    <Gender tc="2">Female</Gender>
    <BirthDate>1967-10-10</BirthDate>
    <SmokerStat tc="1">Tobacco User</SmokerStat>
  </Person>
  <Address id="Add_34383455">
    <AddressTypeCode tc="1">Residence</AddressTypeCode>
    <Line1>100 W 200 S</Line1>
    <Line2>Suite 100</Line2>
    <Line3>Room 4a</Line3>
    <City>Salt Lake City</City>
    <AddressStateTC tc="52">UT</AddressStateTC>
    <Zip>84101</Zip>
    <AddressCountryTC tc="1">USA</AddressCountryTC>
  </Address>
  <EmailAddress id="Eml_2342322">
    <AddrLine>Suzanne.Barker@ipipeline.com</AddrLine>
  </EmailAddress>
  <Phone id="phn_4432312">
    <PhoneTypeCode tc="2">Business</PhoneTypeCode>
    <AreaCode>801</AreaCode>
    <DialNumber>5557903</DialNumber>
  </Phone>
</Party>
<Relation id="Rel_Case_Insured_2" OriginatingObjectID="Holding_12345654" RelatedObjectID="Insured_3455">
  <OriginatingObjectType tc="4">Holding</OriginatingObjectType>
  <RelatedObjectType tc="115">Person</RelatedObjectType>
  <RelationRoleCode tc="33">Additional Insured</RelationRoleCode>
</Relation>
<Party id="Agent_3443">
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<PartyTypeCode tc="1">Person</PartyTypeCode>
<GovtID>555127777</GovtID>
<PersonKey>5487389</PersonKey>
<Person id="J4355T">
  <FirstName>Mark</FirstName>
  <MiddleName>E</MiddleName>
  <LastName>Mark</LastName>
  <FullName>Mark E Mark</FullName>
  <Suffix>Sr</Suffix>
  <Gender tc="1">Male</Gender>
  <BirthDate>1962-10-10</BirthDate>
</Person>
<Address id="Add_3441455">
  <AddressTypeCode tc="1">Residence</AddressTypeCode>
  <Line1>100 W 200 S</Line1>
  <Line2>Suite 100</Line2>
  <Line3>Room 4a</Line3>
  <City>Salt Lake City</City>
  <AddressStateTC tc="52">UT</AddressStateTC>
  <Zip>84101</Zip>
  <AddressCountryTC tc="1">USA</AddressCountryTC>
</Address>
<EmailAddress id="Eml_2382322">
  <AddrLine>Mark.Mark@agentsrus.com</AddrLine>
</EmailAddress>
<Phone id="phn_4444112">
  <PhoneTypeCode tc="2">Business</PhoneTypeCode>
  <AreaCode>801</AreaCode>
  <DialNumber>5553214</DialNumber>
</Phone>
</Party>
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  <OriginatingObjectType tc="4">Holding</OriginatingObjectType>
  <RelatedObjectType tc="115">Person</RelatedObjectType>
  <RelationRoleCode tc="37">Primary Agent</RelationRoleCode>
  <VolumeSharePct>100.00</VolumeSharePct>
</Relation>
</OLifE>
</TXLifeRequest>
</TXLife>
```

## Failure/Warning Responses

“Failure” responses will cause further processing of the request to stop, while “Warning” responses will allow the case create/update process to continue without that information.

Failure: “Missing Holding Information”

Failure: “Agent Object not found”

Failure: “Invalid SCE Change: F -> S”

Failure: “Invalid FileControllID: [filecontrold#]”

Failure: “Missing/Unknown First Agent”

Failure: “Invalid TrackingID: [TrackingID]”

Failure: “Missing Last Name”

Failure: “Missing First Name”

Failure: “Missing Carrier”

Failure: “Missing Applicant Status”

Warning: “Invalid/Missing Carrier ‘Banner’”

Warning: “Invalid/Missing Plan ‘BaOpTerm10’”

Warning: “Plan 4578 is not available to Carrier 205”

Warning: “Plan 4578 is not available to the LOB 1”

Warning: “Agent Record not found”

Warning: “Agent not Unique”

Warning: “Using Default org of 1”